

✓ Sector #3 \$

PTOSB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|------------------------|--------------------|
| Application Number | 09/742,660 |
| Filing Date | December 21, 2000 |
| First Named Inventor | Hidenori Nishikawa |
| Group Art Unit | 2171 |
| Examiner Name | |
| Attorney Docket Number | JP9 1999 0205 US1 |

Total Number of Pages in This Submission

54

ENCLOSURES (check all that apply)

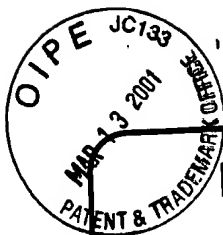
| | | |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communications to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board Of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Changes of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | - Declaration & Power of Attorney |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Documents | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application | Remarks | |
| <input checked="" type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|--|
| Firm Or Individual Name | International Business Machines Corporation 8501 IBM Drive, Intellectual Property Law Dept. Charlotte, NC 28262-4333 |
| Signature | <i>Norman L. Lundel</i> |
| Date | 3-9-2001 |

CERTIFICATE OF MAILING

| | | | |
|---|------------------------|------|-----------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class | | | |
| Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: March 09, 2001 | | | |
| Typed or printed name | James D. Palmer | Date | <i>March 09, 2001</i> |
| Signature | <i>James D. Palmer</i> | | |



FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

Complete if Known

| | |
|----------------------|--------------------|
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| Filing Date | December 21, 2001 |
| First Named Inventor | Hidenori Nishikawa |
| Examiner Name | |
| Group Art Unit | 2171 |
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TOTAL AMOUNT OF PAYMENT \$ 130.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge
Indicated fees and credit any overpayment to:

Deposit Account Number 09-0469
Deposit Account Name

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATIONS

1. BASIC FILING FEES

| Large Fee Code | Entity (\$) | Small Fee Code | Entity (\$) | Fee Description | Fee Paid |
|----------------|-------------|----------------|-------------|------------------------|----------|
| 101 | 710 | 201 | 355 | Utility filing fee | |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fees | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

SUBTOTAL (1) (\$ 0.00)

2. EXTRA CLAIM FEES

| | Extra Claims | Fee from below | Fee Paid |
|----------------------------|--------------|----------------|----------|
| Total Claims -20** = | X | | |
| Independent Claims - 3** = | X | | |
| Multiple Dependent | | | |

| Large Fee Code | Entity (\$) | Small Fee Code | Entity (\$) | Fee Description |
|----------------|-------------|----------------|-------------|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | **Reissue independent claims over Original patent |

SUBTOTAL (2) \$ 0.00

** or number previously paid, if greater; For Reissue, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description | Fee Paid |
|----------|-----------------------|-----------------------|-----------------------|---|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 130.00 |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,250 | 147 | 2,250 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 115 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within 2 nd month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petition to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.117(q) | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per Property(times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be Examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination Of a design application | |

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$ 130.00

SUBMITTED BY

Name (Print/Type)

Norman L. Gundel

Registration No.
(Attorney/Agent)

30,387

Telephone

(704) 594-8302

Signature

Norman L. Gundel

Date

3-9-2001